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This is our version of a form required by the Gibraltar Financial Services Commission.

Applicant Introduction Certificate:

CRIMINAL JUSTICE ORDINANCE 1995
DRUG TRAFFICKING OFFENCES ORDINANCE 1995

APPLICANT INTRODUCTION CERTIFICATE

(To be completed by a Regulated Introducer conducting relevant financial business)

NAME OF APPLICANT:

ADDRESS OF APPLICANT:

WE CERTIFY THAT in accordance with the provisions of the Gibraltar Criminal Justice Ordinance 1995 and the Guidance Notes as amended from time to time, or equivalent legislation to implement the EC Directive.

1. We have verified the identity of the Applicant and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the applicant(s) name(s) and address(es) as shown on the Applicant Form(s) is correct.
2. We have verified the original documentation and the information contained therein. We attach copies of the documentation to this certificate, confirm that any additional KYC original documentation will be retained in our records, and that we will make these available on request to yourselves or a Gibraltar law enforcement agency without a Court Order.
3. The Applicant(s) is/are applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Name of Eligible Introducer: _____

FSC Licence/Authorisation Number: _____

This form may only be signed by two senior officers of the Eligible Introducer.

Signed:	Full Names:
Job Title:	Date:
Signed:	Full Names:
Job Title:	Date:

Notes to an Eligible Introducer completing the Applicant Introduction Certificate:

1. The full name and address of the applicant must be given at the top of the Certificate. The wording may be either adapted for joint account holders or a separate certificate completed for each.
[Where the applicant is a Trust, a separate Certificate must be completed in respect of each Trustee or settlor whose identity has been verified.]
2. The complete Certificate may be used by the institution as evidence of the identity and address of the applicant, and should be retained on file by the institution for the required period.
3. Although identity must be verified without applying any exemptions or concessions that might be normally available to the Introducer, it is not necessary for the Introducer, to provide details of how the verification was carried out.
4. The Certificate must be signed by senior officers of the Eligible Introducer and details of the Introducer's firm, etc., inserted as shown. If an incomplete Certificate is received, it should be returned immediately to the Eligible Introducer for completion.