

Personal Information

Please complete all details. Our regulator requires that we obtain this information from you, and we are unable to open your account without it.

01 Personal Details

Surname: _____

Forenames: _____

Title (Mr/Mrs/Ms/Other): _____ Date of birth: _____

Home address: _____

Postcode: _____

Do you own the property? Yes No

Time at current address: _____ Years _____ Months

Previous address if less than two years: _____

Postcode: _____

Where did you hear about us? _____

02 Contact Numbers

Telephone (office hours): _____

Telephone (out of hours): _____

Mobile: _____

Fax: _____

Email address (mandatory): _____

03 Employment Details

We shall not contact your employer for references. If self-employed please give details.

Employer's name and address: _____

Postcode: _____

03 Employment Details (continued)

Time with this employer: _____ Years _____ Months

Nature of business: _____

Position held: _____

Is your employer a quoted UK company?

04 Financial Details

Name and address of Bank/Building Society: _____

Postcode: _____

Account No./IBAN: _____

Sort code/BIC: _____

Approx. annual income before tax: £ _____

Approx. value of savings and investments (excluding property): £ _____

Approximately how much of these funds are available for your trading with us: £ _____

Source of these funds: Employment Inheritance
 Investment Other (please specify): _____

Approximate value of property (less mortgage): £ _____

05 Statement/Confirmations Instructions

In the absence of other instructions from you, we will send confirmations and monthly statements by email. If you would prefer to receive these by post, please tick this box:

Type of Account (Please tick one box only)

I am applying for a **Standard Account**

You can place Transactions with and/or without Controlled Risk.

- You must have sufficient funds on your account to cover the initial margin requirement before opening each position. The amount of the initial margin will depend on the type and size of Transaction.
- If prices move against you, you will be required to meet any subsequent margin calls on a same-day basis, either by bank transfer or by Maestro or similar.
- Your losses can exceed your margin payments.

I am applying for a **Limited Risk Account**

You are restricted to placing Transactions with Controlled Risk.

- You must specify a stop-loss level for every position you open. We guarantee that, should the market move against you, your position will be closed at exactly the selected level, even if there is a sharp move overnight.
- You must have sufficient funds on your account to cover the maximum possible loss before you open each position.
- The maximum amount you can lose is known in advance.

Your Knowledge and Experience

Please complete the following section so we can assess the appropriateness of our services for you. Please note that we are required to make this assessment by law. It may be that we do not consider the account type you have selected to be appropriate for you. If this is the case, we will contact you to discuss further options.

1. To what extent over the past 3 years have you traded the following?

Shares and/or bonds	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
Exchange-traded derivatives (e.g. warrants, futures or options)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never
OTC derivatives (e.g. CFDs, spread betting, forex, binaries)	<input type="checkbox"/> Frequently	<input type="checkbox"/> Sometimes	<input type="checkbox"/> Rarely/never

2. How have you mostly traded these products? Execution-only and/or Advisory Managed

3. Do you have particular experience or qualifications which would assist your understanding of our services? Yes No

If yes: Occupational experience: I have a good knowledge of OTC, leveraged derivatives through working in the financial sector

Qualifications: I have a good knowledge of OTC, leveraged derivatives because of a relevant professional qualification and/or education

4. Please tick here if your investment portfolio, including cash deposits and other financial instruments, is EUR 500,000 or more (or GBP equivalent).

Non-Professional User – For Data Feeds

Please complete the following declaration in order to be classified as a non-professional user of our service. This will enable us to supply you with data feeds quickly and easily. If these terms do not apply to you, please contact us for more information.

In order to be classified as a non-professional user of the market data that we provide, you must hereby declare that:

- you will subscribe to the service as an individual, and in a personal capacity for your own benefit;
 - you will use the service solely in relation to the management of your personal funds and not as an investment advisor, broker to the public or for the investment of third party or corporate funds;
 - if you are employed by an organisation carrying out professional financial or investment activities and/or if you are currently registered or qualified as a professional securities trader or investment advisor
- with any national or regional exchange, regulatory authority, professional association or recognised body, you agree and undertake that your access to the service will be strictly for your sole personal use only; and
- you will not distribute, disseminate, republish or otherwise provide or make available any data from the service to any third party in any manner whatsoever.

I have read and understood the above Declaration and I confirm and agree the statements apply to me, are true and correct. Further, I undertake to notify CFD Trader if there is any change to my status such that any one of the statements in this Declaration becomes untrue.

Signature _____ Date _____

Declarations

I understand the nature and risks of Margin Trading via CFDs. I consent to the provision to me of the following information by way of a website: the Risk Disclosure Notice, the Margin Trading Customer Agreement, the Summary Order Execution Policy and the Summary Conflicts Policy and I hereby confirm that I have read, understood and agree to be bound by the terms of these documents. Finally, I confirm that I am aged 18 years or over and that the information provided by me in this form is true and correct.

Signature _____ Date _____

ALL APPLICATIONS MUST BE ACCOMPANIED BY: (1) A PHOTOCOPY OF YOUR PASSPORT, DRIVING LICENCE OR NATIONAL IDENTITY CARD AND (2) AN ORIGINAL UTILITY (NOT MOBILE PHONE) BILL. IF APPLYING FROM OUTSIDE THE EUROPEAN UNION, PLEASE SUPPLY NOTARISED COPIES OF THESE DOCUMENTS (INCLUDING TWO UTILITY BILLS).

Notices: Your Data

You acknowledge and consent to the following matters so that we may effectively manage your account:

1. We may store, use and pass on information to third parties about you and your trading, including all transactions and account details, together with any other information about you which we may hold (all of this information to be referred to as "Data").
2. This Data will include your personal data (as defined in the Data Protection Act 1998).
3. If you require any further information about our use of such Data, you should contact us. We make no representation or warranty about any third party's use, storage or processing of such Data.
4. The use and disclosure of your personal Data described at paragraphs (1) through (3) above is in addition to any other Data use or disclosure by us which is set out in the provisions of the relevant customer agreement to which you are a party and have agreed to separately.

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